

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>3029-000083/NP</b>
INTERNATIONAL APPLICATION NO. <b>PCT/AU03/00478</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/511,967</b>
INTERNATIONAL FILING DATE <b>22 April 2003 (22.04.2003)</b>		PRIORITY DATE CLAIMED <b>26 April 2002 (26.04.2002)</b>
TITLE OF INVENTION RETRACTOR		
APPLICANT(S) FOR DO/EO/US <b>ROYSE, Alistair; BERRY, David; HAMILTON, Brett and KERR, Michael</b>		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

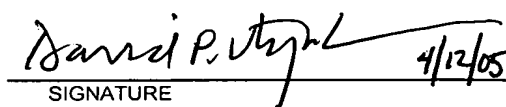
1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(6)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76. **Corrected ADS and Marked-up version**
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **Express Mail Transmittal (Label EV 570 177 167 US), Response to Notification of Missing Requirements and Submission of Corrected Application Data Sheet, copy of Notification of Missing Requirements, Return Postcard**

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/511,967</b>		INTERNATIONAL APPLICATION NO. <b>PCT/AU03/00478</b>		ATTORNEY'S DOCKET NUMBER <b>3029-000083/NP</b>	
The following fees have been submitted				<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
21. <input type="checkbox"/> Basic national fee..... <b>\$300</b>				\$	
22. <input type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... <b>\$100</b> All other situations..... <b>\$200</b>				\$	
23. <input type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... <b>\$100</b> International Search Report prepared and provided to the Office..... <b>\$400</b> All other situations..... <b>\$500</b>				\$	
<b>TOTAL OF 21, 22 and 23 =</b>				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is <b>\$250</b> for each additional 50 sheets of paper or fraction thereof.				<b>0.00</b>	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	\$	
Total claims	- 20 =		x \$ 50	\$	
Independent claims	- 3 =		x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
<b>SUBTOTAL =</b>				\$	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
<b>TOTAL NATIONAL FEE =</b>				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+	\$
<b>TOTAL FEES ENCLOSED =</b>				\$	<b>0.00</b>
				<b>Amount to be refunded:</b>	\$
				<b>Amount to be charged:</b>	\$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-0750</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p>					
<p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO: David P. Utykanski HARNESS, DICKEY &amp; PIERCE, P.L.C. P. O. Box 828 Bloomfield Hills, MI 48303 United States of America</p>				<p> SIGNATURE</p> <p>David P. Utykanski NAME</p> <p><b>39,052</b> REGISTRATION NUMBER</p>	

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/511,967  
International  
Filing Date: April 22, 2003  
Applicant: Alistair ROYSE *et al.*  
Title: RETRACTOR  
Atty. Docket No.: 3029-000083/NP

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P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS AND  
SUBMISSION OF CORRECTED APPLICATION DATA SHEET**

Pursuant to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed March 7, 2005 (copy attached), enclosed herewith is a fully executed Declaration and Power of Attorney.

Also enclosed is an updated Application Data Sheet that has been revised to incorporate corrections indicated by the inventors on the signed Declaration and Power of Attorney. A marked-up copy of the original Application Data Sheet as filed is enclosed for the Examiner's convenience.

Please update the records of the Patent Office to incorporate the changes indicated on the Declaration and revised Application Data Sheet and include this corrected information on the Official Filing Receipt.

Respectfully submitted,

Dated: 4-12-05

By: David P. Utykowski  
David P. Utykowski, Reg. No.: 39,052  
Attorney for Applicant

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10/511967  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EV 570 177 167US

Date of Deposit: 12 April 2005

Application No.: 10/511,967  
International  
Filing Date: April 22, 2003  
Applicant: Alistair ROYSE *et al.*  
Title: RETRACTOR  
Atty. Docket No.: 3029-000083/NP

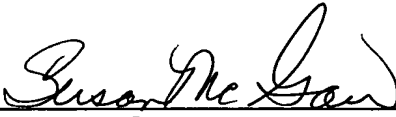
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Alexandria, Virginia 22313-1450

**EXPRESS MAIL TRANSMITTAL**

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on 12 April 2005 and addressed for receipt by the United States Patent and Trademark Office:

1. Express Mail Transmittal (Label No. EV 570 177 167 US);
2. Transmittal Letter to the US Designated/Elected/Office Concerning a Submission Under 35 USC 371 (2 pages, in duplicate)
3. Response to Notification of Missing Requirements and Submission of Corrected Application Data Sheet (1 page);
4. Signed Declaration and Power of Attorney (3 pages);
5. Corrected Application Data Sheet (3 pages);
6. Marked-up version of Application Data Sheet (2 pages);
7. Copy of Notification of Missing Requirements (2 pages);
8. Return Postcard.

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Susan McGaw

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APPLICATION INFORMATION

Title Line One:: Retractor  
Total Drawing Sheets:: 6  
Formal Drawings?:: Yes  
Application Type:: Utility  
Docket Number:: 3029-000083  
Secrecy Order in Parent Appl.?:: No

REPRESENTATIVE INFORMATION

Representative Customer Number:: 27572

PRIOR FOREIGN APPLICATIONS

Foreign Application One:: PCT/AU03/00478

Filing Date:: 04-22-2003

Country:: PCT

Priority Claimed:: Yes

Foreign Application Two:: PS1985/02

Filing Date:: 04-26-2002

Country:: Australia

Priority Claimed:: Yes

Source:: PrintEFS Version 1.0.1